

REPORT OF THE CHIEF EXECUTIVE

SUBJECT: IMPROVEMENT PLAN CERTIFICATE

The Authority received the Improvement Plan Certificate from the Wales Audit Office.

The Certificate confirms that the Authority has discharged its duties in accordance with the requirements of section 15(6) to (9) of the Local Government (Wales) Measure 2009 (the Measure). A copy is included as Annex A.

Members are asked to note this report

.Recommendation: Members are asked to NOTE and COMMENT on this report

(For further information, please contact Tegryn Jones, tegrynj@pembrokeshirecoast.org.uk)



Cyfeirnod: 433A2017

Dyddiad y'i cyhoeddwyd: Mehefin 2017

Archwiliad o Gynllun Gwella 2017-18 Awdurdod Parc Cenedlaethol Arfordir Penfro

Tystysgrif

Rwyf yn ardystio fy mod i wedi archwilio Cynllun Gwella Awdurdod Parc Cenedlaethol Arfordir Penfro (yr Awdurdod), ar ôl ei gyhoeddi ar 31 Mai 2017, yn unol ag adran 17 Mesur Llywodraeth Leol (Cymru) 2009 (y Mesur) a'm Cod Ymarfer Archwilio.

O ganlyniad i'm harchwiliad, rwyf o'r farn bod yr Awdurdod wedi cyflawni ei ddyletswyddau dan adran 15(6) i (9) y Mesur a'i fod wedi ymddwyn yn unol â chanllawiau Llywodraeth Cymru yn ddigonol i gyflawni ei ddyletswyddau.

Priod gyfrifoldebau'r Cyngor a'r Archwilydd Cyffredinol

Dan y Mesur, mae'n ofynnol i'r Cyngor baratoi a chyhoeddi Cynllun Gwella sy'n disgrifio ei gynlluniau i gyflawni ei ddyletswyddau o ran y canlynol:

- gwneud trefniadau i sicrhau gwelliant parhaus wrth gyflawni ei swyddogaethau;
- gwneud trefniadau i sicrhau ei fod yn cyflawni ei amcanion gwella; a
- gwneud trefniadau i gyflawni ei swyddogaethau mewn modd sy'n sicrhau ei fod yn bodloni unrhyw safon o ran perfformiad a bennir gan Weinidogion Cymru.

Mae'r Mesur yn ei gwneud yn ofynnol i'r Cyngor gyhoeddi ei Gynllun Gwella cyn gynted ag sy'n rhesymol ymarferol ar ôl dechrau'r flwyddyn ariannol y mae'n ymwneud â hi, neu ar ôl unrhyw ddyddiad arall a bennir trwy orchymyn gan Weinidogion Cymru.

Mae'r Cyngor yn gyfrifol am baratoi'r Cynllun Gwella ac yn gyfrifol am y wybodaeth a geir ynddo. Mae'r Mesur yn ei gwneud yn ofynnol i'r Cyngor ystyried canllawiau a gyhoeddir gan Weinidogion Cymru, wrth baratoi a chyhoeddi ei gynllun.

Fel archwilydd y Cyngor, mae'n ofynnol dan adrannau 17 ac 19 y Mesur i mi gynnal archwiliad o'r Cynllun Gwella, ardystio fy mod i wedi gwneud hynny ac adrodd ynghylch a wyf o'r farn bod y Cyngor wedi cyflawni ei ddyletswyddau i baratoi a chyhoeddi Cynllun Gwella yn unol â'r gofynion statudol a eglurir yn adran 15 ac mewn canllawiau statudol.

Cwmpas yr archwiliad o'r Cynllun Gwella

At ddibenion fy ngwaith archwilio byddaf yn derbyn, os yw awdurdod yn bodloni ei ofynion statudol, y bydd hefyd wedi cydymffurfio â chanllawiau statudol Llywodraeth Cymru yn ddigonol i gyflawni ei ddyletswyddau.

Ar gyfer yr archwiliad hwn, nid yw'n ofynnol i mi ffurfio barn ynghylch pa mor gyflawn neu gywir yw'r wybodaeth, nac ynghylch a oes modd cyflawni'r Cynllun Gwella a gyhoeddwyd gan y Cyngor. Bydd gwaith asesu arall y byddaf yn ymgymryd ag ef dan adran 18 y Mesur yn ystyried y materion hynny. Felly, roedd fy archwiliad o Gynllun Gwella'r Cyngor yn cynnwys adolygu'r cynllun er mwyn gweld a oedd yn cynnwys yr elfennau a bennir mewn deddfwriaeth. Euthum ati hefyd i asesu a oedd y trefniadau ar gyfer cyhoeddi'r cynllun yn cydymffurfio â gofynion y ddeddfwriaeth, ac a oedd y Cyngor wedi ystyried canllawiau statudol wrth baratoi a chyhoeddi ei gynllun.

Nid yw'r gwaith yr wyf i wedi'i gyflawni er mwyn adrodd a gwneud argymhellion yn unol ag adrannau 17 ac 19 y Mesur yn waith y gellir dibynnu'n gyfan gwbl arno i nodi pob gwendid neu bob cyfle i wella.



Huw Vaughan Thomas

Archwilydd Cyffredinol Cymru

CC: Mark Drakeford, Ysgrifennydd y Cabinet dros Gyllid a Llywodraeth Leol
Nick Selwyn, Rheolwr
Katherine Simmons, Swyddog Arweiniol Archwilio Perfformiad



Reference: 433A2017

Date issued: June 2017

Audit of Pembrokeshire Coast National Park Authority's 2017-18 Improvement Plan

Certificate

I certify that, following publication on 31 May 2017 I have audited Pembrokeshire Coast National Park Authority's Improvement Plan in accordance with section 17 of the Local Government (Wales) Measure 2009 (the Measure) and my Code of Audit Practice.

As a result of my audit, I believe that the Authority has discharged its duties under section 15(6) to (9) of the Measure and has acted in accordance with Welsh Government guidance sufficiently to discharge its duties.

Respective responsibilities of the Authority and the Auditor General

Under the Measure, the Authority is required to prepare and publish an Improvement Plan describing its plans to discharge its duties to:

- make arrangements to secure continuous improvement in the exercise of its functions;
- make arrangements to secure achievement of its improvement objectives; and
- make arrangements to exercise its functions so that any performance standard specified by Welsh Ministers is met.

The Measure requires the Authority to publish its Improvement Plan as soon as is reasonably practicable after the start of the financial year to which it relates, or after such other date as Welsh Ministers may specify by order.

The Authority is responsible for preparing the Improvement Plan and for the information set out within it. The Measure requires that the Authority has regard to guidance issued by Welsh Ministers in preparing and publishing its plan.

As the Authority's auditor, I am required under sections 17 and 19 of the Measure to carry out an audit of the Improvement Plan, to certify that I have done so, and to report whether I believe that the Authority has discharged its duties to prepare and publish an Improvement Plan in accordance with statutory requirements set out in section 15 and statutory guidance.

Scope of the Improvement Plan audit

For the purposes of my audit work I will accept that, provided an authority meets its statutory requirements, it will also have complied with Welsh Government statutory guidance sufficiently to discharge its duties.

For this audit I am not required to form a view on the completeness or accuracy of information, or whether the Improvement Plan published by the Authority can be achieved. Other assessment work that I will undertake under section 18 of the Measure will examine these issues. My audit of the Authority's Improvement Plan, therefore, comprised a review of the plan to ascertain whether it included elements prescribed in legislation. I also assessed whether the arrangements for publishing the plan complied with the requirements of the legislation, and that the Authority had regard to statutory guidance in preparing and publishing its plan.

The work I have carried out in order to report and make recommendations in accordance with sections 17 and 19 of the Measure cannot solely be relied upon to identify all weaknesses or opportunities for improvement.



Huw Vaughan Thomas
Auditor General for Wales

CC: Mark Drakeford – Cabinet Secretary for Finance and Local Government
Nick Selwyn, Local Government Manager
Katherine Simmons, Performance Auditor