

REPORT OF THE BUSINESS AND PERFORMANCE MANAGER

**SUBJECT:
RISK REGISTER**

Introduction

The appendix details the current Risk Register. Only three are shown as high level risks shown in red (down from 4 last quarter) and there are only three changes in the overall risk register. Risk number 3 has reduced from red to amber following negotiations, risk 16 is reduced from amber to green following continuing performance in development management, and risk 34 has been added in light of possible additional workload following the recent Williams' Commission report.

Members are invited to comment on these in more detail.

Recommendation: Members are requested to RECEIVE and COMMENT on the Risk Register.

(For further information contact Alan Hare –Business & Performance Manager on ext 4810)

Risk Register

Period: December 2013

Risk Register 2013/14

Risk	Probability	Impact	RAG	Comment	Previous RAG
01.Risk of significant loss of funding	Likely	Severe	Dark Red	NPG reduced by over 12% in 2 years	Dark Red
02.Risk of failing to meet SGL or other WG requirements	Unlikely	Moderate	Green	SGL for 2013/14 has no specific targets	Green
03.Financial and reputational risk from current public liability claim	Unlikely	Severe	Amber	Settlement negotiated by insurers - subject to court confirmation	Dark Red
04.Failure to comply with EU, UK and WG legislation including H&S, Equality, Language etc.	Unlikely	Moderate	Green		Green
05.Risk of long term impact of climate change on national park	Possible	Moderate	Amber		Amber
06.Risk of impact of budget cuts in partner organisations	Likely	Moderate	Red	Waiting for confirmation of several grants but reduction of £100k-£150 likely and included in budget preparation	Red
07.Risk of failing to maintain high levels of governance	Unlikely	Minor	Dark Green		Dark Green
08.Incident due to condition of path, site or property	Unlikely	Minor	Dark Green	Several sites & paths affected by recent storms but repairs well underway	Dark Green
09.Environmental damage caused by NPA staff/actions	Unlikely	Moderate	Green		Green
10.Risk of loss of NPA building due to fire etc.	Unlikely	Severe	Amber		Amber
11.Risk of major incident response requiring NPA staff & resources	Unlikely	Moderate	Green		Green
12.Risk of failing to reduce CO2 emissions by 3%	Unlikely	Minor	Dark Green	Energy rating for Llanion building reduced to B	Dark Green
13.Failure to have RoW in acceptable condition	Unlikely	Minor	Dark Green		Dark Green
14.Risk of flawed planning decision	Unlikely	Minor	Dark Green		Dark Green
15.Failure to meet affordable housing targets	Likely	Minor	Amber	Implementing actions from the Authority's Scrutiny Committee Review into affordable housing	Amber
16.Failure to maintain & improve performance of development control service	Unlikely	Moderate	Green	Performance maintained at high level - 4th in WG stats for 4th qtr 2013.	Amber
17.Failure to improve web access and document management for DM.	Possible	Moderate	Amber	Cost estimates obtained from possible suppliers, demonstration arranged in January. Some technical problems to overcome	Amber

Risk Register

Period: December 2013

Risk Register 2013/14

Risk	Probability	Impact	RAG	Comment	Previous RAG
18.Failure to effectively manage sites for conservation	Unlikely	Minor	Dark Green	Review of actively managed sites underway	Dark Green
19.Risk of NPA activities having a negative impact on socio economic well-being of the area	Unlikely	Moderate	Green	Scrutiny exercise commencing February	Green
20.Incident involving a child or vulnerable person	Unlikely	Moderate	Green	CRB checks, Key staff on training courses	Green
21.Incident due to sale of faulty merchandise/food etc.	Unlikely	Minor	Dark Green		Dark Green
22.Failure to deliver Origins project	Unlikely	Moderate	Green	Tenders due in February	Green
23.Incident due to behaviour or action of staff or volunteers	Unlikely	Moderate	Green		Green
24.Vehicle/driver related incident	Unlikely	Moderate	Green		Green
25.Incident due to inappropriate or offensive behaviour by member of public	Possible	Minor	Green		Green
26.Risk of loss of key documents etc.	Unlikely	Moderate	Green		Green
27.Risk of major IT failure or virus attack	Possible	Severe	Red	Firewalls, virus checks, security kept updated. Data backed up daily.	Red
28.Risk of lack of staff capacity or capability due to extra-ordinary events, extended sickness etc.	Unlikely	Moderate	Green		Green
29.Risk of inaccurate GIS and other data for decision making	Unlikely	Moderate	Green		Green
30.Failure to implement new HR system	Possible	Moderate	Amber		Amber
31.Failure to implement new finance system	Unlikely	Moderate	Green	System now operational & end user training underway	Dark Green
32.Failure to allocate SDF funding	Unlikely	Minor	Dark Green	Funding allocated for 2013/14, although small underspend likely from some projects	Dark Green
33.Risk of failure of phone system	Unlikely	Severe	Amber		Amber
34.Risk of Williams recommendations impacting on service delivery	Possible	Moderate	Amber		Amber